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NITED STATES PATENT AND TRADEMARK OFFICE UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov JAN 1 3 2012 FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 09/601,013 07/26/2000 Jan Paul Wilbert, Dr. 9879 09/19/2011 **EXAMINER** Jan P Wilbert Riegerweg 7 BENITEZ, JOSHUA Taufkirchen, 82024 ART UNIT PAPER NUMBER **GERMANY** 2858 MAIL DATE **DELIVERY MODE** 09/19/2011 **PAPER** 

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

set and a set a	Application No.	Applicant(s)			
Office Action Summany	09/601,013	WILBERT, DR. ET AL.			
Office Action Summary	Examiner	Art Unit			
	JOSHUA BENITEZ	2858			
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence address			
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 16(a). In no event, however, may a reply be tim ill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONEI	the mailing date of this communication.  C (35 U.S.C. § 133).			
Status					
1)⊠ Responsive to communication(s) filed on 26 Ju	iv 2000				
· · · · · · · · · · · · · · · · · · ·	action is non-final.				
,		set forth during the interview on			
3) An election was made by the applicant in response to a restriction requirement set forth during the interview on; the restriction requirement and election have been incorporated into this action.					
) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
	closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.				
order in accordance with the practice and a	n parto quayro, 1000 G.B. 11, 40	3.3.210.			
Disposition of Claims					
5)⊠ Claim(s) <u>1-6</u> is/are pending in the application.	5)⊠ Claim(s) 1-6 is/are pending in the application.				
5a) Of the above claim(s) is/are withdrawn from consideration.					
6) Claim(s) is/are allowed.					
)⊠ Claim(s) <u>1-6</u> is/are rejected.					
8) Claim(s) is/are objected to.					
	Claim(s) are subject to restriction and/or election requirement.				
Application Papers		•			
•	_				
10) The specification is objected to by the Examine					
11) The drawing(s) filed on 26 July 2006 is/are: a) accepted or b) objected to by the Examiner.					
Applicant may not request that any objection to the o					
Replacement drawing sheet(s) including the correcti		• •			
12)☐ The oath or declaration is objected to by the Ex	aminer. Note the attached Oπice	Action or form P1O-152.			
Priority under 35 U.S.C. § 119					
13) ☐ Acknowledgment is made of a claim for foreign a) ☐ All b) ☐ Some * c) ☐ None of:		-(d) or (f).			
1. Certified copies of the priority documents					
2. Certified copies of the priority documents	• • • • • • • • • • • • • • • • • • • •				
3. Copies of the certified copies of the prior	•	ed in this National Stage			
application from the International Bureau	• • • • • • • • • • • • • • • • • • • •				
* See the attached detailed Office action for a list	of the certified copies not receive	d.			
Attachment(s)					
1) Notice of References Cited (PTO-892)  4) Interview Summary (PTO-413)					
2) Notice of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)/Mail Da	nte			
3) Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date  5) Notice of Informal Patent Application 6) Other:					
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Art Unit: 2858

## **DETAILED ACTION**

1. Claims 1-6 of U.S. Application No. 09/601,013 filed on 07/26/2000 are presented for examination.

# Specification

2. Applicant is reminded of the proper content of an abstract of the disclosure.

A patent abstract is a concise statement of the technical disclosure of the patent and should include that which is new in the art to which the invention pertains. If the patent is of a basic nature, the entire technical disclosure may be new in the art, and the abstract should be directed to the entire disclosure. If the patent is in the nature of an improvement in an old apparatus, process, product, or composition, the abstract should include the technical disclosure of the improvement. In certain patents, particularly those for compounds and compositions, wherein the process for making and/or the use thereof are not obvious, the abstract should set forth a process for making and/or use thereof. If the new technical disclosure involves modifications or alternatives, the abstract should mention by way of example the preferred modification or alternative.

The abstract should not refer to purported merits or speculative applications of the invention and should not compare the invention with the prior art.

Where applicable, the abstract should include the following:

- (1) if a machine or apparatus, its organization and operation;
- (2) if an article, its method of making;
- (3) if a chemical compound, its identity and use:
- (4) if a mixture, its ingredients;
- (5) if a process, the steps.

Extensive mechanical and design details of apparatus should not be given.

3. The following guidelines illustrate the preferred layout for the specification of a utility application. These guidelines are suggested for the applicant's use.

### Arrangement of the Specification

As provided in 37 CFR 1.77(b), the specification of a utility application should include the following sections in order. Each of the lettered items should appear in

Page 2

Art Unit: 2858

upper case, without underlining or bold type, as a section heading. If no text follows the section heading, the phrase "Not Applicable" should follow the section heading:

Page 3

- (a) TITLE OF THE INVENTION.
- (b) CROSS-REFERENCE TO RELATED APPLICATIONS.
- (c) STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH OR DEVELOPMENT.
- (d) THE NAMES OF THE PARTIES TO A JOINT RESEARCH AGREEMENT.
- (e) INCORPORATION-BY-REFERENCE OF MATERIAL SUBMITTED ON A COMPACT DISC.
- (f) BACKGROUND OF THE INVENTION.
  - (1) Field of the Invention.
  - (2) Description of Related Art including information disclosed under 37 CFR 1.97 and 1.98.
- (g) BRIEF SUMMARY OF THE INVENTION.
- (h) BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWING(S).
- (i) DETAILED DESCRIPTION OF THE INVENTION.
- (j) CLAIM OR CLAIMS (commencing on a separate sheet).
- (k) ABSTRACT OF THE DISCLOSURE (commencing on a separate sheet).
- (I) SEQUENCE LISTING (See MPEP § 2424 and 37 CFR 1.821-1.825. A "Sequence Listing" is required on paper if the application discloses a nucleotide or amino acid sequence as defined in 37 CFR 1.821(a) and if the required "Sequence Listing" is not submitted as an electronic document on compact disc).

### Content of Specification

- (a) <u>Title of the Invention</u>: See 37 CFR 1.72(a) and MPEP § 606. The title of the invention should be placed at the top of the first page of the specification unless the title is provided in an application data sheet. The title of the invention should be brief but technically accurate and descriptive, preferably from two to seven words may not contain more than 500 characters.
- (b) <u>Cross-References to Related Applications</u>: See 37 CFR 1.78 and MPEP § 201.11.
- (c) <u>Statement Regarding Federally Sponsored Research and Development:</u> See MPEP § 310.
- (d) The Names Of The Parties To A Joint Research Agreement: See 37 CFR 1.71(g).

Art Unit: 2858

- (e) Incorporation-By-Reference Of Material Submitted On a Compact Disc:
  The specification is required to include an incorporation-by-reference of electronic documents that are to become part of the permanent United States Patent and Trademark Office records in the file of a patent application. See 37 CFR 1.52(e) and MPEP § 608.05. Computer program listings (37 CFR 1.96(c)), "Sequence Listings" (37 CFR 1.821(c)), and tables having more than 50 pages of text were permitted as electronic documents on compact discs beginning on September 8, 2000.
- (f) <u>Background of the Invention</u>: See MPEP § 608.01(c). The specification should set forth the Background of the Invention in two parts:
  - (1) Field of the Invention: A statement of the field of art to which the invention pertains. This statement may include a paraphrasing of the applicable U.S. patent classification definitions of the subject matter of the claimed invention. This item may also be titled "Technical Field."
  - (2) Description of the Related Art including information disclosed under 37 CFR 1.97 and 37 CFR-1.98: A description of the related art known to the applicant and including, if applicable, references to specific related art and problems involved in the prior art which are solved by the applicant's invention. This item may also be titled "Background Art."
- given the Invention: See MPEP § 608.01(d). A brief summary or general statement of the invention as set forth in 37 CFR 1.73. The summary is separate and distinct from the abstract and is directed toward the invention rather than the disclosure as a whole. The summary may point out the advantages of the invention or how it solves problems previously existent in the prior art (and preferably indicated in the Background of the Invention). In chemical cases it should point out in general terms the utility of the invention. If possible, the nature and gist of the invention or the inventive concept should be set forth. Objects of the invention should be treated briefly and only to the extent that they contribute to an understanding of the invention.
- (h) <u>Brief Description of the Several Views of the Drawing(s)</u>: See MPEP § 608.01(f). A reference to and brief description of the drawing(s) as set forth in 37 CFR 1.74.
- (i) <u>Detailed Description of the Invention</u>: See MPEP § 608.01(g). A description of the preferred embodiment(s) of the invention as required in 37 CFR 1.71. The description should be as short and specific as is necessary to describe the invention adequately and accurately. Where

Art Unit: 2858

elements or groups of elements, compounds, and processes, which are conventional and generally widely known in the field of the invention described and their exact nature or type is not necessary for an understanding and use of the invention by a person skilled in the art, they should not be described in detail. However, where particularly complicated subject matter is involved or where the elements, compounds, or processes may not be commonly or widely known in the field, the specification should refer to another patent or readily available publication which adequately describes the subject matter.

- (j) Claim or Claims: See 37 CFR 1.75 and MPEP § 608.01(m). The claim or claims must commence on separate sheet or electronic page (37 CFR 1.52(b)(3)). Where a claim sets forth a plurality of elements or steps, each element or step of the claim should be separated by a line indentation. There may be plural indentations to further segregate subcombinations or related steps. See 37 CFR 1.75 and MPEP § 608.01(i)-(p).
- (k) Abstract of the Disclosure: See MPEP § 608.01(f). A brief narrative of the disclosure as a whole in a single paragraph of 150 words or less commencing on a separate sheet following the claims. In an international application which has entered the national stage (37 CFR 1.491(b)), the applicant need not submit an abstract commencing on a separate sheet if an abstract was published with the international application under PCT Article 21. The abstract that appears on the cover page of the pamphlet published by the International Bureau (IB) of the World Intellectual Property Organization (WIPO) is the abstract that will be used by the USPTO. See MPEP § 1893.03(e).
- (I) <u>Sequence Listing.</u> See 37 CFR 1.821-1.825 and MPEP §§ 2421-2431. The requirement for a sequence listing applies to all sequences disclosed in a given application, whether the sequences are claimed or not. See MPEP § 2421.02.

### **Drawings**

4. The drawings are objected to under 37 CFR 1.83(a). The drawings must show every feature of the invention specified in the claims. Therefore, the single rod, the head electrode and the waveguide arranged in parallel to return conductors must be shown or the feature(s) canceled from the claim(s). No new matter should be entered.

Art Unit: 2858

Corrected drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. The figure or figure number of an amended drawing should not be labeled as "amended." If a drawing figure is to be canceled, the appropriate figure must be removed from the replacement sheet, and where necessary, the remaining figures must be renumbered and appropriate changes made to the brief description of the several views of the drawings for consistency. Additional replacement sheets may be necessary to show the renumbering of the remaining figures. Each drawing sheet submitted after the filing date of an application must be labeled in the top margin as either "Replacement Sheet" or "New Sheet" pursuant to 37 CFR 1.121(d). If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

# Claim Objections

- 5. Claims 1-6 are objected to because of the following informalities:
  - In claim 1, line 4, "an head" should be replaced with "a head".
- In claims 2-6, the appropriate language to refer to another claim should be "The device of claim" instead of "Device after claim".
  - In claim 2, line 2, the plural of "impedance" is "impedances", not "impedance's".

    Appropriate correction is required.

Application/Control Number: 09/601,013 Page 7

Art Unit: 2858

6. Claims 4-5 are objected to under 37 CFR 1.75(c) as being in improper form because a multiple dependent claim should refer to other claims in the alternative only and cannot depend from any other multiple dependent claim. See MPEP § 608.01(n). Accordingly, the claims have not been further treated on the merits.

# Claim Rejections - 35 USC § 112

- 7. The following is a quotation of the second paragraph of 35 U.S.C. 112:

  The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 8. Claims 1-6 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

U.S. practice. They appear to be a literal translation into English from a foreign document and are replete with grammatical and idiomatic errors.

Claims 1-6 rejected as failing to define the invention in the manner required by 35
 U.S.C. 112, second paragraph.

The claim(s) are narrative in form and replete with indefinite and functional or operational language. The structure which goes to make up the device must be clearly and positively specified. The structure must be organized and correlated in such a manner as to present a complete operative device. The claim(s) must be in one sentence form only. Note the format of the claims in the patent(s) cited.

Additionally, in claim 1, the term "especially" in the limitation of "especially for systems having comparatively large dimensions as wagons and/or trains" casts doubt as to weather the device is actually used for systems having comparatively large dimensions. Also, the term "impulse generating wave guides" is unclear. Additionally, it is unclear what element said wave guides are arranged parallel to and how said wave guides "show electrical conducting single rods switched together over a head electrode". Moreover, it is unclear what element, the waveguides, the rods or the electrode, is "connectable" over a rail-shaped spark gap. Additionally, the term "connectable" raises doubts as to whether a device is actually connected or not. Also, employing the terms "preferable" or "preferably" casts doubts as to whether the device is actually connected/coupled in the manner claimed. Furthermore, the Examiner is unclear as to what "in order to build up a testing chamber for the system to be tested, which, preferably right-angled attached, with one or several return conductors is switched together" means. Finally, the limitation of "the magnitude of the terminating characteristic impedance with, at the most a few ohm difference" does not clearly describe the magnitude of the terminating characteristic impedance as required.

For examination purposes, claim 1 will be interpreted as "A device for testing the electromagnetic compatibility and susceptibility of an object comprising a waveguide coupled to electrical rods, connected to a conducting waveguide attached to a conductor, wherein the characteristic impedance of the conductor corresponds to the waveguide.

Art Unit: 2858

In claim 2, it is unclear how the terminating characteristic impedance is developed planar or consists of several single characteristic impedances. Said limitations have not been given patentable weight at this moment.

In claim 3, it is unclear what "several of the devices are switched together as modules" means. Applicant's use of "switched' is unclear in this context. Said limitations have not been given patentable weight at this moment.

In claim 6, it is unclear how the impulse generating waveguide is arranged parallel to return conductor since, as noted above, such arrangement has not been properly disclosed/explained in Applicant's disclosure. Said limitation has not been given patentable weight at this moment.

Claims 2-6 are also rejected for depending on claim 1.

# Claim Rejections - 35 USC § 102

10. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 11. Claim 1 is rejected under 35 U.S.C. 102(b) as being anticipated by Rogers et al (U.S. Patent No. 5,552,715).

In re claim 1, Rogers '715 discloses in figure 1 a device for testing the electromagnetic compatibility and susceptibility of an object (automotive electrical

Art Unit: 2858

system, column 5, lines 51-52) comprising a waveguide (optical waveguide transmitter 2, abstract line 9) coupled to electrical rods (conductors 20, 50), connected to conducting waveguide (optical cable 26) attached to a conductor (optical receiver 3), wherein the characteristic impedance of the conductor corresponds to the waveguide (necessary to ensure proper signal conduction).

Examiner's note: The corresponding prior art searches have been performed.

However, no prior art has been found at this moment to meet the limitations as interpreted. The claims as they currently stand are not allowable for the 112.2 issues as explained above.

### Conclusion

12. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Hankui et al (U.S. Patent No. 5,514,971) discloses an apparatus for measuring an electromagnetic wave stress of an article including an electromagnetic wave irradiation unit, the apparatus including a control unit and switching circuits.

Rogers et al (U.S. Patent No. 5,552,715) discloses an apparatus for low cost electromagnetic field susceptibility testing including a detector probe, non-metallic conductors, an optical transmitter, a cut-off filter and an optical cable having waveguides.

Art Unit: 2858

Brushwood et al (U.S. Patent No. 6,642,718) discloses an ignition system monitoring assembly having at least one transmittal member.

Sugiura et al (U.S. Patent No. 7,741,856) discloses an immunity test system having a determination device, a motor driving device, a signal generator and a pulse generator.

Rogers et al (U.S. Patent No. 5,689,192) discloses an apparatus and method for low cost monitoring a system for susceptibility to electromagnetic fields comprising a probe, a detector diode, a non-metallic conductor which is transparent to electromagnetic field.

Rogers et al (U.S. Patent No. 5,414,345) discloses an apparatus and method for low

Rogers et al (U.S. Patent No. 5,414,366) discloses an apparatus and method for low cost monitoring a system for susceptibility to electromagnetic fields.

cost monitoring a system for susceptibility to electromagnetic fields.

Greene et al (U.S. Patent No. 4,594,546) discloses a passive voltmeter that measures pulses of high voltage by periodically charging a condenser through a spark gap.

Meyer et al (U.S. Patent No. 5,672,812) discloses a sparkplug pressure sensor device.

13. Any inquiry concerning this communication or earlier communications from the examiner should be directed to JOSHUA BENITEZ whose telephone number is (571)270-1435. The examiner can normally be reached on M-Th, 7:30-5:00; F, 7:30-4:00 EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Huy Phan can be reached on 571-272-1606. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 09/601,013 Page 12

Art Unit: 2858

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Joshua Benitez/ Examiner, Art Unit 2858 September 9, 2011

/HUY Q PHAN/ Supervisory Patent Examiner, Art Unit 2858

### Application/Control No. Applicant(s)/Patent Under Reexamination 09/601,013 WILBERT, DR. ET AL. Notice of References Cited Examiner Art Unit Page 1 of 1 **JOSHUA BENITEZ** 2858 **U.S. PATENT DOCUMENTS** Document Number Date Name Classification Country Code-Number-Kind Code MM-YYYY US-5,552,715 09-1996 Rogers, Wesley A. 324/627 US-5,514,971 05-1996 В Hankui et al. 324/639 US-6,642,718 11-2003 Brushwood, John Samuel С 324/393 US-7,741,856 06-2010 D Sugiura, Toshihiro 324/627 US-5,689,192 Rogers, Wesley A. Ε 11-1997 324/627 \* US-5,414,345 05-1995 Rogers, Wesley A. 324/72.5 \* US-5,414,366 05-1995 Rogers, Wesley A. 324/627 G н US-4,594,546 06-1986 Greene et al. 324/122 US-4,672,812 06-1987 Carre, Jean-Jacques 60/547.1 US-J USκ US-US-М FOREIGN PATENT DOCUMENTS Document Number Date Country Name Classification Country Code-Number-Kind Code MM-YYYY Ν 0 P Q R s Т **NON-PATENT DOCUMENTS** Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) U

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

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